Reducing Solar Heat Gain during Winter: The Role of White Bark in Northern Deciduous Trees

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ABSTRACT. Deciduous tree species throughout the boreal forest of North America have lighter-coloured bark than do species restricted to more southern forests. We tested the hypothesis that light-coloured bark minimizes the thawing and freezing of cambium tissue during winter that could contribute to sunscald injury. During mid-winter, maximum midday cambium temperatures of south-exposed bark of white birch (Betula papyrifera Marsh.) near Timmins, Ontario, were higher for brown-painted bark (+1.6 °C) than for natural bark (-9.4 °C) and white-painted bark (-12.1 °C). Rates of temperature decrease after trees were shaded at midday were more rapid for brown-painted bark (0.06 °C/min) than for natural bark (0.03 °C/min) and white-painted bark (0.03 °C/min). When stems of white birch, trembling aspen (Populus tremuloides Michx.), yellow birch (B. alleghaniensis Britton), and large tooth aspen (P. grandidentata Michx.) were illuminated and subsequently shaded at -10 °C ambient air temperature, maximum cambium temperatures and rates of cambium cooling increased with decreasing measures of whiteness. For trembling aspen in the southwest Yukon, we found that after two years, brown-painted trees had a higher incidence (35%) of wounding that resembled sunscald injury than did white-painted trees (2.5%) and natural trees (4.5%). Therefore, we suggest that light-coloured bark reduces the risk of winter sunscald injury, probably by protecting the cambium from solar heat gain in subfreezing temperatures. This physical mechanism for reducing sunscald risk may explain why the deciduous trees at the northern limit of tree growth are those with highly reflective bark.

Key words: bark colour, white birch, cambium temperatures, trembling aspen, sunscald, boreal forest, deciduous trees

INTRODUCTION

In North America, only four deciduous tree species extend their distribution to the northern limit of tree growth: white birch, Alaska white birch (B. neoalaskana Sarg.), trembling aspen, and balsam poplar (P. balsamifera L.) (Sakai and Weiser, 1973; Arno and Hammerly, 1984). These species all possess bark that is thin, smooth, and coloured from light grey to white (Harlow and Harrar, 1958; Grant and Thompson, 1975;...
Farrar, 1995). The prevalence of light-coloured bark in northern deciduous trees suggests that it may serve as an adaptation for living in cold environments. Bark colour influences the temperature of bark tissue when the bark is exposed to sunlight (Harvey, 1923b). Injuries caused by temperature fluctuations in winter may select for trees with lighter barks.

Winter-related injuries in northern trees that are caused by fluctuations in tree temperatures include frost cracking, xylem cavitation, and sunscald. Frost cracks are splits in the radial-longitudinal plane of a stem caused by “frost shrinkage,” which occurs when the outer layer of a tree is colder and contracts faster than the core (Kubler, 1983, 1987). As the contracting outer layer presses on the core, it exceeds its elastic limit and splits (Vasil’yev, 1956; Kramer and Koslowski, 1960). This winter injury is not affected by bark colour since solar radiation warms only the south side of the tree, and the highly insulative wood protects the core from warming (Derby and Gates, 1966).

Cavitation is a loss of sap conduction when xylem conduits become embolized (filled with air) (Magnani and Borghetti, 1995). Embolism can be produced by low water potential during drought (Kolb and Davis, 1994) or during freeze-thaw events when bubbles of dissolved gases coming out of solution during freezing later nucleate cavitation after thawing (Sperry and Sullivan, 1992). Winter embolism can potentially reduce sap flow in spring, producing branch dieback (Sperry, 1993). However, many temperate trees demonstrate mechanisms for avoiding (Picea, Larix, Abies spp.), repairing (Betula, Alnus spp.), or tolerating (Quercus, Populus spp.) winter embolism (Sperry et al., 1994). Bark colour may influence the frequency of freezing and thawing of xylem fluids during winter thus potentially leading to cavitation. However, there is little evidence that cavitation has serious negative effects owing to the robustness of xylem vessels (Canny, 1998).

Sunscald appears as lesions or cankers on the south sides of trees, the result of direct sun exposure during winter and early spring (Harvey, 1923a, b); it eventually causes the bark to become loose and fall off (Huberman, 1943). Sudden thawing, increased sap flow, and cessation of dormancy caused by elevated temperatures on the sun-exposed sides of trees do not produce sunscald damage (Huberman, 1943). Sunscald damage is thought to occur when obstruction of sunlight (e.g., by movement of clouds or movement of sun behind adjacent trees or nearby hills) refreezes cambium tissue temporarily thawed by insolation, causing cellular death (Huberman, 1943; Kramer and Koslowski, 1960; Levitt, 1980; Tatler, 1989). Sunscald damage is more frequent under lighter-coloured bark than under darker-coloured bark (Boyce, 1961). We suggest that northern deciduous trees have evolved reflective bark to reduce the risk of sunscald.

If there is a selective advantage of white bark in reducing sunscald, we predict the following: 1) the cambium under white bark will experience smaller temperature fluctuations than cambium under darker-coloured bark during normal winter conditions; 2) rates of refreezing of the cambium will be proportional to the temperature differential between cambium and ambient; and 3) sunscalding will be more frequent on trees with dark-coloured bark than on trees with white bark. We tested these predictions with a series of field and laboratory experiments.

### METHODS

**Field Study 1: Cambium Temperature of White Birch**

To examine the effect of bark colour on temperature change in natural winter conditions, we selected eight unshaded trees (diameter = 15.4 ± 1.4 cm) from a pure stand of white birch on the north edge of a clearing approximately 35 km south of Timmins, Ontario (48˚ N, 81˚ W, elevation = 295 m). The average maximum and minimum February temperatures in Timmins are -8.8˚C and -22.3˚C respectively (Environment Canada, 1982). Continuous snow cover occurs from November to April. On the day of this experiment (15 February 1993), the sky was cloudless, temperatures ranged from -25˚ C to -14˚ C, snow cover was 100%, and daybreak and sunset were at 0730 h and 1747 h, respectively.

Each tree stem received three colour treatments around its entire circumference in successive 60 cm vertical lengths, starting 20 cm above the snow: natural (unpainted), white latex paint, and dark brown latex paint. White paint served as a control for the influence of paint application on cambium temperature. To control for location, we reversed the order of colour treatment. On half the trees, the order (from bottom up) was brown, white, natural; for the rest, it was natural, white, brown. White thermocouple wire (Tex-Tex-20 Type K wire, Thermo Electric Canada, Ltd., Brampton, Ontario) was installed on the north and south sides of the tree in the cambium under each treatment within a 3.2 mm diameter hole that was drilled for 5 cm nearly vertical along the inside surface of the bark. Thermocouples protruding 5 cm from the north surface of each tree were also installed to monitor ambient temperature in the shade. Temperatures were read (2455 series digital thermometer, Yokogawa Electric Corporation, Tokyo, Japan) every hour over a seven-hour period from 1000 h to 1700 h. Trees were no longer exposed to sunlight after 1700 h, and one last temperature reading was therefore taken at 1730 h.
**Field Study 2: Cooling Rates of White Birch**

To examine the rate of cambium temperature change after shading, we shaded four of the above trees with reflective silver plastic sheeting (1 × 2 m) spaced 0.5 m from each tree at 12:00 noon on the cloudless day of 18 February 1993. Average diameter of these four trees was 14.9 ± 1.7 cm. Ambient air temperature from one thermocouple and cambium temperatures from the three colour treatments on all four trees were determined at shading, and cambium temperature was measured again 30 min later to estimate the rate of cooling.

**Laboratory Study: Cooling Rates**

In a –10°C freezer, we measured the cambium cooling rates of nine stem sections from four tree species. These sections came from white birch, trembling aspen, and two congeneric species with more southerly distributions, yellow birch and laratooth aspen (P. grandidentata Michx.) (Farrar, 1995). All nine undamaged stem sections (10 cm diameter × 60 cm length) were cut while frozen from separate trees during late February, three weeks before the experiment. To minimize moisture loss, the stems were sealed with paraffin at both ends, encased in plastic, and kept frozen until the experiment. Only samples shipped from the Yukon were not frozen for a period of five days while in transit. Four white birch stems were taken from the deciduous forest region in southern Ontario, one painted brown, one painted white, one unpainted, and one lichen-covered. Three trembling aspen stems were used; two were from the southwest Yukon (one green, the other silver-grey) and one was from southern Ontario (chalky-white). The larettooth aspen and the yellow birch samples were from southern Ontario. Two thermocouples were installed in the cambium on opposite sides of each stem, using the same technique described above.

All stems were acclimated to the freezer for two days before the start of the experiment. Sunlight was simulated by illuminating each stem with a broad-spectrum metal filament lamp (General Electric 300-W Halogen) placed 1 m from the stem. Each experimental stem was illuminated for 30 min; then the light was turned off, and the temperatures were recorded at 5 and 10 min thereafter from both the thermocouple on the illuminated side and that on the dark side. Temperature changes were recorded as the difference between the illuminated side and the dark side to control for changes in temperature not associated with illumination. The entire procedure was repeated four times for each stem. Cooling was estimated to be linear over a 5 min interval.

**Field Study 3: Tree Damage**

To examine the influence of bark colour on frequency of wounding, we manipulated stem colour of trembling aspen within a stand near Klune Lake in the southwest Yukon Territory, Canada (61°N, 138°W, elevation = 850 m) on 6–7 September 1993. Forty trembling aspen 50 m apart along two transects were painted brown over the entire circumference of a 1 m section of the stem 1.5 m above the ground, which is 0.5 m above the maximum annual depth of snow accumulation. Oil-based paint was used in this study because long-term application of latex paint injures trees (Mosher and Cool, 1974). Each painted tree was paired with an unmanipulated tree of approximately the same diameter and within approximately 10 m. To control for paint application, we painted 22 trees white. All trees in this study were 10–20 cm in diameter, had no visible wounds or blemishes, and were shaded by the trembling aspen foliage during summer, so that summer heat stress was not a factor in our study. Two years later, on 15 August 1995, the south-facing sides of all trees were examined and recorded as either wounded or not wounded. Wounds potentially associated with sunscalding were identified as cracks in the bark that were separate from branch nodes. These cracks are not oriented in any particular direction. In contrast, frost cracks are vertical splits that penetrate deep into the wood (Kubler, 1983).

**Whiteness Index**

All experimental trees (62 B. papyrifera in Ontario and 56 P. tremuloides in Yukon, plus the 9 tree sections used in the laboratory) were assessed for whiteness. We use the term “whiteness” as a proxy for reflectivity. Since we did not have access to equipment capable of measuring reflectivity of all wavelengths, we developed a whiteness index as a crude relative measurement of reflectivity. The whiteness index describes only visible wavelength reflectivity and therefore assumes that reflection of other high-energy wavelengths (i.e., infrared) is correlated to reflection of visible light. To develop our whiteness index, we used a 35 mm single-lens reflex camera with a 50 mm f1.8 lens and colour transparency film (Fujichrome 100 ASA) to take photographs of each sample in which half of the image was bark and the other half contained a matte-finish photographic grey card (18% grey). Since internal camera light meters measure all exposures as relative to 18% grey, we measured film exposure from the grey card, using the camera’s internal spot meter to ensure proper exposure of bright surfaces (i.e., white bark). Each transparency was projected in a dark room (i.e., no external light sources), and the intensity of light passing through the image of the grey card and through the image of the bark was measured (in foot-candles) using an illumination meter (Model 756, Weston Instruments, Division of Daystrom, Inc., Newark, New Jersey, U.S.A.). The distance from projector to screen was set so that an unexposed slide did not cast any light on the screen, as measured by the illumination meter. This was done separately for each film. Although the absolute light measurement taken from the bark image may provide a good index of whiteness, we used the measurement of light being transmitted through the grey card image as a control for possible differences in exposure, film stock, or
film development. The ratio of the light intensity transmitted through the grey card image to the light transmitted through the bark image was considered to approximate the ratio of light reflected from the grey card to the light reflected from the bark. Therefore the whiteness index (W) of the bark was calculated as follows:

$$W = 18 \times \frac{B}{G}$$

where B was the intensity of light transmitted through the bark image and G was the intensity of light transmitted through the grey card image. This yields an index of 0 (total black) to 1 (total white). However, we do not interpret these values as absolute (i.e., 0.5 = 50% reflectivity of visible light) but rather as relative measures of reflectance of visible light.

**Analysis**

All statistical procedures were conducted according to Zar (1984). Cochran’s test for homogeneity of variance (Winer, 1971) was performed prior to ANOVA, as recommended by Day and Quinn (1989). Cambium temperature and whiteness values from white birch were transformed using a Box-Cox transformation (Krebs, 1999) and logarithmic transformation, respectively. All ANOVAs, ANCOVAs, and Tukey-Kramer post-hoc tests were performed using SuperANOVA (Gagnon et al., 1991). Repeated measures ANOVA was used to analyze cambium temperatures over time in Field Study 1, with bark colour (brown, white, natural) and exposure (north, south) as main factors and temperature as the repeated measure where time is a covariate. Log-linear models to test frequency of wounding in Field Study 3 and linear regressions in the laboratory study were performed with StatView (SAS Institute Inc., 1998). Means are expressed with ± 1 S.E.

**RESULTS**

**Field Study 1: Cambium Temperature of White Birch**

During the course of this experiment, ambient air temperature increased from –19˚C to a maximum of –14˚C (Fig. 1). Cambium temperatures for all colour treatments on both north- and south-exposed bark of white birch trees also increased, but at greater rates for the south-exposed bark (repeated measures ANOVA, time (covariate) × exposure: F8,336 = 3.3, p < 0.001) (Fig. 1). Bark colour had different effects on the rates of temperature change in the cambium for north- and south-exposed bark (repeated measures ANOVA, time (covariate) × bark colour: F16,336 = 1.3, p < 0.001) (Fig. 1). The greatest effect on cambium temperature was produced by brown-painted bark on the south-facing sides of birch at 1300 h, when cambium temperature reached +1.6 ± 0.8˚C, exceeding ambient temperature by 17.8˚C (Fig. 1). Paint itself did not increase temperatures because white-painted bark on both exposures maintained lower cambium temperatures throughout the study than the other two bark colours (Fig. 1). On the south-exposed bark at 1300 h, the cambium temperature underneath natural bark (-9.4 ± 0.9˚C) was 2.7˚C higher than that underneath white-painted bark (-12.1 ± 0.28˚C) and 11˚C lower than that underneath brown-painted bark.

**Field Study 2: Cooling Rates of White Birch**

At time of shading, ambient air temperature was -16˚C and the initial temperatures of the treatments were as follows: Brown = 2.8 ± 3.4˚C, White = -13.4 ± 1.0˚C, and Natural = -10.1 ± 1.1˚C. Brown-painted bark showed the greatest drop in cambium temperature over the 30 min period after shading (1.8 ± 1.8˚C [0.06˚C/min]), followed by natural bark (1.0 ± 1.8˚C [0.03˚C/min]) and white-painted bark (1.0 ± 0.6˚C [0.03˚C/min]). Differences in rates of temperature change among the treatments were not significant (ANOVA: F2,6 = 0.3, p = 0.7) and were not dependent on initial temperature at time of shading (ANOVA: F1,6 = 2.1, p = 0.2).

**Laboratory Study: Cooling Rates**

Temperature increase under illumination was negatively associated with bark whiteness (linear regression: $r^2 = 0.93$, d.f. = 8, p < 0.001) (Fig. 2a). For every 0.1 increase...
in whiteness, there was an associated 1°C decrease in cambium temperature. Since there was very little variation among the four trials conducted because of the controlled environment, standard errors are not presented in Figure 2 (all S.E.s per sample ≤ 0.3°C).

The rate of temperature loss after light was removed was negatively associated with bark whiteness (linear regression: \( r^2 = 0.89, \text{d.f.} = 8, p < 0.001 \)) (Fig. 2b). For every 0.1 increase in whiteness, there was an associated 0.1°C/min decrease in the cooling rate.

**Field Study 3: Tree Damage**

Wounding varied from small cracks in the bark to visible separation of the bark from the tree. Vertical splits in the woody tissue were not observed in any of the trees we examined; therefore, frost cracking was not associated with colour treatments. The wounding that we observed differed among treatments (\( G = 19.3, \text{d.f.} = 2, p < 0.001 \)) (Table 1). The frequency of wounding in brown-painted trembling aspen was significantly greater than that in unpainted trembling aspen (\( G = 16.06, \text{d.f.} = 1, p < 0.001 \)). The frequency of wounding of white-painted trees was similar to that of unpainted trees (\( G = 0.18, \text{d.f.} = 1, p = 0.67 \)); therefore, damage was not an artifact of the paint itself.

**Whiteness Indices**

The average whiteness index of white birch from northern Ontario was 0.53 ± 0.02 (\( N = 62, \text{range} = 0.21 \text{ to} \ 1 \)) and was similar to that of trembling aspen (0.51 ± 0.03, \( N = 56, \text{range} = 0.04 \text{ to} \ 1 \)). Lichen or fungal cover, age of the tree, and scars from prior damage contributed to the low whiteness values that we recorded for some trees. Our method has limitations, and several trees (1 birch and 2 aspen) had very high whiteness values (\( W = 1 \)). We interpret this not as complete reflectance of all visible light, but only as very white bark relative to the rest of the specimens we measured. However, our index does provide a suitable measure of the absorption/reflectance of high-energy wavelengths.

The high negative correlation between whiteness and cambium temperature increase (\( r^2 = 0.93 \)) as described in the laboratory study above indicates either that visible light contributes most of the heat energy absorbed by the bark, or that our index of visible light reflectance is highly correlated with the reflectance of other high-energy wavelengths (e.g., infrared).

**DISCUSSION**

During winter, bark colour appears to play an important role in the thermal dynamics of the cambium of deciduous trees. In comparison with light-coloured bark, dark-coloured bark was associated with increased cambium temperatures (Figs.1 and 2a) and greater rates of cooling (Fig. 2b) in both our field studies and our laboratory experiment. Furthermore, after two years of manipulation, trembling aspen trees with dark-coloured bark had a greater incidence of wounding than trembling aspen with light-coloured bark (Table 1). We suggest that sunscald injury may be an important selective mechanism for light-coloured bark for trees in the northern boreal forests.

Cooling rates in our laboratory study far exceeded those we measured in Field Study 2 despite the warmer conditions in the freezer (-10°C in the freezer, compared with -16°C in field). As an example, we measured a cooling rate

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**TABLE 1.** Percentage of trembling aspen stems showing wounding after two years of bark colour manipulation in the southwest Yukon.

<table>
<thead>
<tr>
<th>Bark Treatment</th>
<th>Wounded (%)</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brown paint</td>
<td>35.0</td>
<td>40</td>
</tr>
<tr>
<td>Natural</td>
<td>2.5</td>
<td>40</td>
</tr>
<tr>
<td>White paint</td>
<td>4.5</td>
<td>22</td>
</tr>
</tbody>
</table>

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**FIG. 2.** (a) Cambium temperature increase after 30 min of illumination (regression: \( y = -10.4x + 6.14, r^2 = 0.93 \)) of nine cut stems with different whiteness values at a constant ambient temperature of –10°C. (b) Rate of temperature loss after removal of light following 30 minutes of illumination (regression: \( y = -1.24x + 0.92, r^2 = 0.89 \)).
of 0.9°C/min for brown-painted birch in the laboratory experiment and a rate of 0.03°C/min for brown-painted birch in the field experiment. Although we do not know exactly why these rates are so different, we suggest several possible reasons why these measurements are not comparable. First, the samples in the laboratory were approximately one-third smaller in diameter than those in the field, and they were 60 cm lengths rather than whole trees. Therefore, laboratory samples had 50% greater surface area per volume than did field samples, not including the exposed ends in the laboratory samples. Second, we had complete control over all light sources in the laboratory setting, while in the field, setting trees were still exposed to scattered light reflected from the snow (and possibly from our shading material, which was highly reflective on both sides to avoid radiation of heat toward the tree from the shading material itself). Nevertheless, both experiments demonstrate that trees with dark-coloured bark experience greater heat gain in their cambium under illumination and greater rates of heat loss after exposure to light ceases.

Low temperature extremes may not be a factor limiting the northward distribution of plants. Freezing resistance in plants is often effective at temperatures lower than the annual minimum temperatures normally encountered (Sakai and Weiser, 1973). For example, many species of conifers and deciduous trees, if acclimated slowly, can survive temperatures as low as −80°C (Sakai and Weiser, 1973). The rate of freezing has been shown to be more important than temperature itself for the survival of some trees (Savage, 1970; Weiser, 1970). Under slow freezing conditions, a vapour pressure deficit caused by extracellular ice formation draws protoplasmic water out of the cell (Weiser, 1970), whereas under rapid freezing, protoplasmic water will freeze before migrating out of the cell (Levitt, 1980). The formation of intracellular ice is fatal (Siminovitch and Scarth, 1938; Hong and Sucoff, 1980; Hong et al., 1980) because ice crystals cause membrane destruction (Weiser, 1970; Burke et al., 1976).

Sakai and Larcher (1987) disagree that sunscald is a consequence of intracellular freezing. Instead, they argue that exposure to sun during winter dehardens plant tissue, thereby reducing its freezing tolerance. If tissue is dehardened, then sunscald will occur when plant tissue is exposed to unusually low nighttime temperatures. Although the exact mechanisms causing sunscald injury are still unresolved, sunscald is conclusively linked to elevated bark temperatures from exposure to sun during winter.

Harvey’s (1923b) study and our study (Fig. 1) have demonstrated that the cambium in trees with low bark reflectivity may experience thawing from insolation under sub-zero ambient temperatures. During winter, cambium temperatures elevated above freezing may deharden, or cool at a rate sufficient to cause intracellular freezing and therefore cellular death. In our small sample of southern tree species, largetooth aspen and yellow birch, whose northerly distributions extend northward only to the southern border of the boreal forest, had lower bark reflectivity, greater temperature increases when exposed to light (Fig. 2a), and hence more rapid cooling when light was removed (Fig. 2b) than did white birch and trembling aspen, whose northerly distributions extended to the tree line in North America. These differences should be explored more thoroughly to account for the variation in bark colour of the southern tree species. It may be that the risk of sunscalding imposes limitations on the northern distributions of some deciduous tree species, and only species with white bark (i.e., white birch, trembling aspen, balsam poplar) can exist in winter conditions at high latitudes.

We do not know if the variation in whiteness values we measured for white birch and trembling aspen represents genotypic or phenotypic variation. Bark reflectivity may vary with environmental conditions over a small scale (e.g., trees on the edge of a stand compared with trees in the stand interior). Field experiments manipulating exposure of young trees by transplanting them or removing surrounding trees are required to separate sources of variation in bark colour within a population.

SunscaIding is more often associated with northern regions (Godman, 1959; Litzow and Pellett, 1983) where solar radiation, although weaker than at southern latitudes, interacts with the subfreezing temperatures and snow cover to create conditions more extreme than those experienced by southern trees. We suggest that selection for trees with lower risk of sunscald injury during winter is the reason for the dominance of white-barked deciduous trees at northern latitudes.

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